

# Student Compliance Training



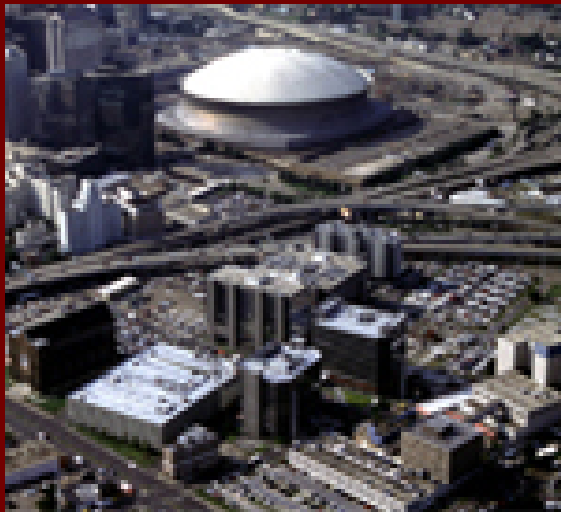
# Objectives

- ❖ Description of LSUHSC-NO's Compliance Program
- ❖ Introduction to Office of Compliance Program (OCP) staff
- ❖ Improve Compliance Awareness
- ❖ Debarred and Sanctioned individuals
- ❖ Use of State assets

# Compliance Staff

- ❖ Roy Clay, Compliance Officer
- ❖ Leigh Lamonica, Privacy Officer
- ❖ Harold Borel, Compliance Internal Auditor
- ❖ Lori Ferro, Compliance Internal Auditor
- ❖ Kelly Guth, Compliance Educator
- ❖ Nona Bernard, Research Analyst

# Compliance is. . .



A "voluntary" undertaking by an entity or Health Care Provider to assure compliance with governing laws (including Medicare and Medicaid laws)

# History of The OCP at LSUHSC-NO

- ❖ Dec. 1998- resolution by LSU Board of Supervisors requiring all medical campuses to have compliance programs in place. Individual LSUHSC-NO schools name compliance officers
- ❖ 2001- Report by ad hoc committee recommends establishment of central compliance office. OCP was created
- ❖ 2002-Reorganization moves OCP under the Vice Chancellor for Administration and Finance

# Compliance Program Elements

- ❖ Code of Conduct
- ❖ Designation of a specific person or persons responsible for oversight of the compliance program (compliance officer)
- ❖ Regular Training
- ❖ Anonymous Compliance Hotline

# Elements (cont.)

- ❖ Clearly Defined Roles and Responsibilities
- ❖ Audits
- ❖ Policies and Procedures
- ❖ Sanctions for Violators

# Consequences of Non-Compliance

- ❖ Fines and penalties (including imprisonment)
- ❖ Additional oversight/monitoring by the government
- ❖ Potential reduction or elimination of Federal funding
- ❖ Adverse publicity and loss of confidence of the community we serve
- ❖ Suspension, debarment, exclusion of individuals or the institution as a whole





# Compliance Hotline

For privacy breaches, employee wrongdoing, noncompliance with any federal or state laws, or University policy you can:

❖ Call:

(504) 568-2347 (anonymous hotline)  
or

❖ E-mail:

[nocompliancehotline@lsuhsc.edu](mailto:nocompliancehotline@lsuhsc.edu)

❖ All reports will be treated with the strictest confidentiality in accordance with Federal regulations and LSUHSC-NO whistleblower policy (CM-53E)

# Debarment And Sanctioned Individuals

- ❖ Prevents companies and individuals who have violated Federal laws and regulations from participation in government contracts, subcontractors, loans, grants, and other assistance programs
- ❖ Protects LSUHSC-NO from doing business with an individual/company that pose a business risk

# Debarment And Sanctioned Individuals (cont.)

- ❖ A debarment of an individual or entity will result in no federally funded payments for anything an excluded person or entity furnishes, orders, or prescribes regardless of who submits the claims.
- ❖ Excluded entities or individuals must apply for reinstatement before they can participate in any Federal programs.

# Actions That Lead To Exclusions

- ❖ Claims for excessive charges or services
- ❖ Obstruction of an investigation
- ❖ Failure to disclose required information
- ❖ Failure to take corrective action
- ❖ Loss or Expiration of License
- ❖ Failure to Repay Student Loans
  - ❖ For a complete list of excluded individuals/entities, go to <http://www.oig.hhs.gov/>

# Donation of State Assets

- ❖ The funds, credit, property, or things of value of the State or of any political subdivision shall not be loaned, pledged, or donated to or for any person, association, or corporation, public or private.

# Donation of State Assets

- ❖ Exceptions- Some exceptions are provided including but not limited to:
  - ❖ Education
  - ❖ Health care for the indigent
  - ❖ Cooperative Endeavors
- ❖ If you feel your activity may qualify for an exception, please check with the Compliance Office or University Legal Counsel before proceeding.

**THE END**

**Any Questions?**

**We are here to help!**

You can reach the Compliance Department by email at: [nocompliance@lsuhsc.edu](mailto:nocompliance@lsuhsc.edu) or by Telephone at: (504) 568-2350