HIPAA Privacy – Research Test Questions

If you have access to KDS (LSUHSC-NO’s online training system), this written test will NOT be accepted; you must logon to the training system at https://intranet.lsuhsc.edu/ctms/kds/home/ to take your training. If you need this topic added to your training list, please contact Kelly Guth in compliance (see contact info below).

Print First and Last Name (Legal Name): ________________________________

Signature: _____________________________________________________________

Date of Signature: _____ / _____ / ______

Institution of Affiliation: (ex. LSUHSC, Tulane, Ochsner): _______________________

Department/School _______________________________________________________

Do you have LSUHSC-NO Network Access? Please circle Yes or No.

Contact Number: _________________________________________________________

Please enter your answers below and submit this page to Kelly Guth for recordation via email at kguth@lsuhsc.edu.

1. _____
2. _____
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10. _____

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Please circle the correct answer.

1. Dr. John wants to discuss a multi-site cancer research study in which he wishes to enroll his patient, Ms. Jane. HIPAA prevents Dr. John from discussing recruitment into his study with Ms. Jane.
   a. True
   b. False

2. Before Ms. Jane can be enrolled into the cancer research study, she must sign a HIPAA authorization form.
   a. True
   b. False

3. Dr. John's colleague, Dr. Jack wants to look through Dr. John's charts for patients who may fit his multi-site study profile. It is permissible for Dr. John to give Dr. Jack his patients information, without patients authorization.
   a. True
   b. False

4. Ms. Jane signed the required HIPAA authorization form and enrolled into Dr. John's research study. Dr. John is not limited by the minimum necessary standard in how he can use and disclose Ms. Jane's PHI.
   a. True
   b. False

5. Dr. Jack decides not to go through Dr. John's charts; instead he wants to enter into a data use agreement with Dr. John and receive a limited data set of Dr. John's information. Dr. John has to remove all identifiers (both direct and indirect) from the patient data for the limited data set to be valid.
   a. True
   b. False

6. Both Dr. John and Dr. Jack must have a Research Data Protection Plan in place to prevent unauthorized use and disclosure of patient information in the research data and ensure recovery of the data in the event of equipment failure, theft, loss or disaster.
   a. True
   b. False
7. Dr. John must make the following representations in his certification to the Privacy Board to conduct research from decedent information: 1) the use or disclosure is sought solely for the purpose of research on decedents PHI; 2) the PHI for which the use or disclosure is sought is for research purposes only; and 3) there is documentation, at the request of covered entity, of the death of such individuals.
   a. True
   b. False

8. Dr. Jack decides the limited data set is not for him; he requests approval from the Privacy Board or Chair of a waiver of authorization under reviews preparatory to research. Dr. Jack’s waiver application must represent that 1) the PHI for which use or access is sought is necessary for research purposes; 2) the use or disclosure sought is solely to review PHI to prepare a research protocol; and 3) no PHI is removed from the covered entity by Dr. Jack in the course of the review.
   a. True
   b. False

9. Dr. John and Dr. Jack are granted their respective waivers of authorization by the Privacy Board; therefore, neither doctor is required to account for disclosures.
   a. True
   b. False

10. The state designated cancer registry is requesting PHI from Dr. John on the cancer patients he has been treating who have died within the last six months. Dr. John must get a written authorization from Ms. Jane in order to disclose her PHI.
    a. True
    b. False