
Is a non-LSUHSC individual or institution involved in the research?

**Yes**

Individual or Institution?

**Yes**

Is individual acting as employee or agent of non-LSUHSC institution?

**No**

Individual signs an LSUHSC IIA

**Yes**

Does the collaborating institution hold an FWA?

**Yes**

Collaborating institution must amend its FWA and sign an LSUHSC IAA or accept reliance through the SMART IRB/IREx platform(s)

**No**

Collaborating Institution must apply for an FWA

Non-LSUHSC institution provides written permission for conduct of the research at its facility

**No**

Individual

Individual or Institution?

**Yes**

Is non-LSUHSC institution engaged in research?*

**No**

Does the collaborating institution hold an FWA?

**Yes**

Collaborating Institution must apply for an FWA

**No**

Collaborating Institution’s engaged researcher signs an IIA. Collaborating institution provides written permission for the conduct of the research at its facility

Is individual acting as employee or agent of non-LSUHSC institution?

**Yes**

Individual or Institution?

**No**

Is non-LSUHSC institution engaged in research?*

**Yes**

Does the collaborating institution hold an FWA?

**Yes**

Collaborating institution must amend its FWA and sign an LSUHSC IAA or accept reliance through the SMART IRB/IREx platform(s)

Collaborating Institution’s engaged researcher signs an IIA. Collaborating institution provides written permission for the conduct of the research at its facility

**No**

Individual

Individual or Institution?

**Yes**

Is non-LSUHSC institution engaged in research?*

**No**

Does the collaborating institution hold an FWA?

**Yes**

Collaborating Institution must apply for an FWA

**No**

Collaborating Institution’s engaged researcher signs an IIA. Collaborating institution provides written permission for the conduct of the research at its facility

*An institution becomes “engaged” in human subjects research when its employees or agents (i) intervene or interact with living individuals for research purposes; or (ii) obtain individually identifiable private information for research purposes [45 CFR 46.102(d),(f)]. Agents include all individuals performing institutionally designated activities or exercising institutionally delegated authority or responsibility.

**An institution is considered “regularly engaged in research” if HHS-conducted or supported human subjects research activity routinely occurs at the institution. (See https://www.hhs.gov/ohrp/regulations-and-policy/guidance/guidance-on-engagement-of-institutions/index.html)